

Docket No. 740819-430

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Shinji NAKAMURA et al.

Application Serial No. 09/680,054

Filed: October 5, 2000

For: SEMICONDUCTOR DEVICE,

SEMICONDUCTOR SUBSTRATE AND

MANUFACTURE METHOD

) Group Art Unit: 2823

) Examiner: Brook Kebede

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ATT: BOX AF

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Date: March 4, 2003

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CERTIFICATE OF MAILING

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AMENDMENT

Honorable Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Examiner's Final Office Action mailed December 4, 2002, please consider the following amendments and remarks in connection with the above-identified application.

IN THE SPECIFICATION:

Please replace the last paragraph on page 22 of the application, starting with "Further, the figure", with the following corrected paragraph:

Further, the figure of the depression 104 when viewed from the substrate normal is not limited to an equilateral triangle. The figure of the depression 104 may be an equilateral hexagon. That is to say, as long as the figure of the depression 104 is a hexagon, the side faces of the depression 104 can be formed of  $\{1, -1, 0, 1\}$  planes, and the crystallinity of the side faces can be improved. In other words, since the side faces of the depression 104 can be  $(1, -1, 0, 1)$ ,  $(-1, 1, 0, 1)$ ,  $(0, 1, -1, 1)$ ,  $(0, -1, 1, 1)$ ,  $(-1, 0, 1, 1)$ , and  $(1, 0, -1, 1)$ , this makes it possible to

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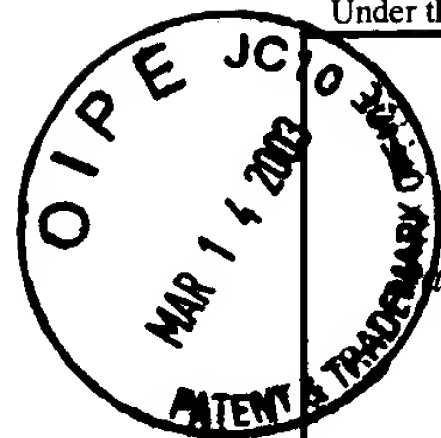
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/680,054	
	Filing Date	October 5, 2000	
	First Named Inventor	Shinji NAKAMURA et al.	
	Group Art Unit	2823	
	Examiner Name	Brook Kebede	
Total Number of Pages in This Submission		Attorney Docket Number	740819-430

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group
Remarks		<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.

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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	<u>Donald R. Studebaker, Reg. No. 32,815</u> Nixon Peabody LLP 8180 Greensboro Drive Suite 800 McLean, VA 22102
Signature	
Date	March 4, 2003

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